

DB2 12 — The ultimate enterprise database for business-critical transactions and analytics

DB2 12 for z/OS: Migration Considerations

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Objectives

- Share lessons learned, surprises, pitfalls
- Provide hints and tips
- Address some myths
- Provide additional planning information
- Provide usage guidelines and positioning on new enhancements
- Help customers migrate as fast as possible, but safely



Agenda

- DB2 11 prerequisites for migration to DB2 12
- DB2 12 Migration Quick Hits
- Maintenance recommendations for early adopters of DB2 12
- DB2 12 Risk Mitigation
- Understand Continuous Delivery starting with DB2 12
- Understanding new function levels
- DB2 12 Greatest Hits
 - Fast Un-clustered INSERT
 - Index Fast Traversal



DB2 11 prerequisites for migration to DB2 12

- Ensure catalog consistency
 - REPAIR DBD TEST/DIAGNOSE + CHECK DATA/LOB/INDEX + DSNTESQ +
- Run pre-migration check queries
 - DSNTIJPM (V12) or DSNTIJPC (APAR PI58254 for V11)
- Apply fallback SPE PTF to all data sharing members
 - APAR PI33871 / II14794
- Make sure DB2 11 PTF level is reasonably current and all maintenance is applied related to DB2 12 migration
 - Use SMP/E Fix categories
 - IBM.Migrate-Fallback.DB2.V12 and
 - IBM.Coexistence.DB2.SYSPLEXDataSharing



DB2 11 prerequisites for migration to DB2 12 ...

- Convert BSDS to 10 byte log RBA before beginning migration to DB2 12
 - For data sharing, convert single member at a time
 - Things to consider before converting the BSDS (DSNJCNVT)
 - Stop the DB2 subsystem that owns the subject bootstrap data set
 - Any utility (e.g., RECOVER, REORG) that reads from peer BSDS must be terminated in data sharing
 - Special considerations for Data Replication
 - » Stop any data replication process to ensure BSDS is successfully renamed and replaced
 - » Best practice is to stop data replication process first, then stop the DB2 subsystem
 - RACF user ID running DSNJCNVT must have read/write access on the new BSDSs, and read
 access on the old BSDSs
 - After converting the BSDS, will see increased logging volume (3 <-> 40%)
 - May need to increase size/number of active log pairs to maintain recommended 6 hours of recovery log data across active log configuration



DB2 11 prerequisites for migration to DB2 12 ...

- Avoid autobind on pre-V10 plans and packages under V12
 - Explicitly rebind under V11 NFM before beginning migration
 - Use plan management for packages to keep a backup copy
 - Resolve any authorization issues
- Remember to set ZPARM ABIND=COEXIST if planning to use mixed release coexistence (V11, V12)
- FREE inactive package copies (access plan management)
- Upgrade EXPLAIN tables to V12 format (should be at least V11 version)
 - Can be done in V11 NFM with fallback SPE applied
 - Use of sample batch job DSNTIJXA with REXX DSNTXTA can help
- Apply PTFs for APARs PI69589 (V11) & PI69584 (V12)
 - Reduce catalog contention during "online" migration to V12
- Plan for activation of DB2 12 ERLY code
 - Activation via IPL or Command -REFRESH DB2, EARLY



DB2 12 Migration – Quick Hits

- Minimum OS level lifted from z/OS V1R13 to V2R1
- Minimum hardware level lifted from z10 to z196/z114
- Replication
 - DB2 12 (with DB2 APAR PI70998) and DB2 11 for z/OS require the Q Capture and Capture programs from IBM InfoSphere Data Replication for DB2 for z/OS Version 10.2.1
 - Q Apply and Apply programs at architecture level 1001 will work with DB2 12 and 11 for z/OS
 - APAR PI70998 for DB2
 - APAR PI66768 for IIDR 10.2.1 Q and SQL
 - APAR PI61562 for CDC



DB2 12 Migration – Quick Hits ...

- DB2 Connect
 - Any level of DB2 Connect drivers should work with V12, both before and after new function is activated with no behavior change
 - But ... Data server clients and drivers must be at the following levels to exploit DB2 for z/OS function-level application compatibility of V12R1M501 or greater:
 - IBM® Data Server Driver for JDBC and SQLJ: Versions 3.72 and 4.22, or later
 - Other IBM data server clients and drivers: DB2 for Linux, UNIX, and Windows Version 11.1 Modification 1
 Fix Pack, or later
 - New clientApplCompat setting allows you to control the capability of the client when updated drivers ship changes to enable new server capability
 - You might want specific control of driver capability when:
 - DB2 client driver introduces new behavior currently not controlled by DB2 application compatibility
 - Change needs to be controlled at the application level to ensure compatibility with new behavior
 - clientApplCompat V12R1M500 is required to access V12 Server capability shipped after GA at function levels beyond DB2 V12R1M500



DB2 12 Migration – Quick Hits ...

- Changes to Utilities Suite installation
 - Requires registration in SYS1.PARMLIB(IFAPRDxx)
 - CBPDO is being sunset, and SystemPac is the strategic direction
 - Any separately orderable product using only F or J FMIDs has to be changed to use an E or H base FMID
 - Documented in DB2 Utilities Suite program directory PRODUCT OWNER('IBM CORP') NAME('DB2 UTIL SUITE') ID('577-AF4') VERSION(12) RELEASE(1) MOD() FEATURENAME('V12R1') STATE(ENABLED)
 - Failure to register Utilities Suite results in utility errors
 DSNU3333I 012 14:35:50.01 DSNUGPRS THE DB2 UTILITIES SUITE FOR Z/OS HAS
 NOT BEEN ENABLED
 DSNU3330I 012 14:35:50.09 DSNUGPTS THE xxxxxxx UTILITY HAS RESTRICTED FUNCTION
 IT IS PART OF THE DB2 UTILITIES SUITE FOR Z/OS WHICH HAS NOT BEEN ENABLED
- REORG MAPPING TABLE format change for longer length XRID columns (7 byte RIDs)
 - No toleration logic in V11 NFM
 - V11 NFM REORG running with the V12 mapping table format will fail
 - REORG under V12R1M100 tolerates V11 format mapping table format
 - REORG under V12R1M100 and V12R1M5xx supports the V12 mapping table format



DB2 12 Migration - Quick Hits ...

- RACF changes
 - DB2 now utilizes TCP/IP DROP API through the EZBNMIFR callable service (NMI)
 - DB2 requires that RACF security profiles be defined to permit DB2 to successfully utilize this API
 - RACF PERMIT ACCESS(CONTROL) on MVS.VARY.TCPIP.DROP(OPERCMDS) for userid for xxxxDIST started task
- HVSHARE should be 510 TB (default)
 - DB2 12 requires 1 TB of 64-bit shared private storage in z/OS (same as DB2 11)
 - Virtual, not real
 - Monitor with IFCIDs 217 and 225
- Plan for real memory increase
 - Trend continues ... using larger size REAL memory to deliver performance improvements
 - Expect ~ 10% increase
 - Expect up to 30% increase if taking advantage of new in-memory function
 - Largest percentage from use of Fast Traverse Block area 20% increase on allocated VPSIZE
- Consider current zIIP utilization
 - Trend to extend zIIP offload continues
 - REORG and LOAD RELOAD phase
 - SQL query parallelism



DB2 12 Migration - Quick Hits ...

- Deprecation of Basic Row Format (BRF)
 - Pagesets in BRF will continue to be supported for the time being ...
 - zparm SPRMRRF will be removed in V12
 - Any REORG or LOAD REPLACE will convert BRF to RRF
 - New objects created will always be RRF
 - ROWFORMAT option in REORG will be removed from the documentation
 - Still supported from a utility syntax perspective
- Invalidation of prepared SQL statements in dynamic statement cache
 - Prior to V12, RUNSTATS would always invalidate prepared statements dependent on the object that the utility
 was run against
 - In V12, RUNSTATS by default will <u>not</u> invalidate the prepared statements (incompatible change)
 - Use new INVALIDATECACHE YES option to force the invalidation of prepared statements
 - Invalidation of prepared statements will still occur when
 - RUNSTATS ... INVALIDATECACHE YES
 - RUNSTATS after SQL DDL (CREATE/DROP INDEX) and statistics profile updated
 - RUNSTATS ... UPDATE(NONE) REPORT(NO)
 - For other utilities, if the object was in an invalid state before the utility began e.g., rebuild pending or reorg pending



Maintenance recommendations for early adopters of DB2 12

- Apply regular drops of preventative service plus corrective fixes as needed
- Stabilize maintenance level before production cutover and try to keep it
- During first year, look at applying drops monthly staying 2 months back from latest level
- Pull Enhanced HOLDDATA weekly to review HIPERs and PEs, and apply where applicable
- Must be continued going forward after production cutover
- Run with stable level for a month before production cutover
- Might have to take APAR fixes



DB2 12 Risk Mitigation

- Regression testing is critical piece to keep "fires away from production"
 - Test all critical and custom processes, and scale them up
 - Run performance measurements and establish DB2 11 baseline for comparison
 - Go / No Go decision for V12 migration of production system should be based on positive results from proper testing
 - Be prepared to postpone migration as opposed to forcing in V12
 - Practice migration fallback from V12 to V11 and back to V12
 - Design fallback strategy and practice it in pre-production environments
- Minimize change and use of new function in and around when DB2 12 is first introduced into production
- For production systems, stay on V12R1M100 for at least a month until it runs smoothly
 - Leaves back door to go back to V11 NFM open for emergencies
- Disable certain DB2 12 functions point-in-time statement until sufficient corrective maintenance is available and applied to fix high impact defects and provide additional serviceability
 - Fast Index Traversal
 - Insert Algorithm 2 (aka "Fast Insert" or "Smart Insert") for fast un-clustered insert
 - Active Log Dataset Size > 4G
 - UTS PBR RPN



Understand Continuous Delivery starting with DB2 12

- With Continuous Delivery, there is a single delivery mechanism for defect fixes and enhancements
 - PTFs (and collections of PTFs like PUTLEVEL and RSU) \rightarrow same as today
- With Continuous Delivery, there are four DB2 levels
 - Maintenance level (ML) lifted by applying maintenance
 - Also known as code level
 - Contains defect and new enhancement fixes
 - Catalog level (CL) vehicle to enable new FL cumulative (skip level possible)
 - DB2 Catalog changes that are needed for some FLs
 - Function level (FL) needs to be activated cumulative (skip level possible)
 - Introduces new DB2 features and functionality
 - APPLCOMPAT level (APPLV) set by application provides an "island of stability" for a given application
 - Determines SQL level of applications can increase FL (and fallback)
 - Activates new SQL syntax
 - Freezes SQL syntax even if FL is later moved back to earlier level
 - Relies on BIND/REBIND since APPLV level in package rules
 - Minimum starting point for Continuous Delivery is DB2 12 GA level: V12R1M500



Understanding new function levels

- CM / ENFM / NFM no longer used
- Function Level V12R1M100
 - Analogous to CM
 - DB2 12 engine and catalog / directory
 - DSNTIJTC (CATMAINT) to get there
 - Fallback to V11 NFM possible
- Function Level V12R1M5xx analogous to NFM
 - New functionality available
 - Command –ACTIVATE FUNCTION LEVEL(V12R1M5xx) to get there
 - Fallback to V11 NFM no longer possible (PIT recovery would be required)



DB2 11 NFM

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Understanding new function levels ...



- Activated Level = V12R1M100
- Keeps the application running at the current level
- Apply new maintenance (PTFs) to DB2 libraries
 - Maintenance Level (Code Level) = V12R1M500
 - New function exists but not active
- CATMAINT to update Catalog Level
 - Catalog Level = V12R1M500
 - DB2 12 Catalog and Directory updated
 - Fallback to DB2 11 possible
- 4. -ACTIVATE FUNCTION LEVEL command
 - Function Level = V12R1M500
 - New functions available
 - No fallback possible to DB2 11
- 5. Bind packages to set APPLCOMPAT for DB2 Connect
 - Activated Function Level = V12R1M500
 - Set zparm APPLCOMPAT to V12R1M500
 - Applications can use new SQL syntax





Example of how to get to a new function level





DB2 12 Greatest Hits

- Fast Index Traversal (FTB)
- Dynamic Plan Stability
- Granular global commit LSN and read LSN
- Enhanced SQL MERGE
- SQL pagination syntax LIMIT / OFFSET
- Online ALTER to increase DSSIZE
- Lifting partition size limit (1 TB)
- Insert Partition
- LOB compression
- DRDA Fast Load
- Asynch CF lock Duplexing
- REORG (and LOAD) use of statistics profile



Fast Un-clustered INSERT

- Insert workloads are amongst the most prevalent and performance critical
- Performance bottleneck will vary across different insert workloads
 - Index maintenance?
 - Log write I/O?
 - Data space search (page contention, false leads)
 - Format write during dataset extend
 - PPRC disk mirroring
 - Network latency
 - etc
- Common that Index insert time may dominate and mask insert speed bottleneck on table space



Fast Un-clustered INSERT ...

- Often referred to as "Insert Algorithm 2" or "Smart Insert" or even "Fast Insert"
- May potentially deliver significant improvement for un-clustered inserts (e.g., journal table pattern) where both
 - Heavy <u>concurrent</u> insert activity (many concurrent threads)
 - Space search and false leads on data is the constraint on overall insert throughput
- Applies to any UTS table space defined with MEMBER CLUSTER
 - Applies to tables defined as APPEND YES or APPEND NO
- Implements advanced new insert algorithm to streamline space search and space utilisation
 - Eliminates page contention and false leads
 - Default is to use the new fast algorithm for qualifying table spaces
 - INSERT ALGORITHM zparm can change the default
 - INSERT ALGORITHM table space attribute can override zparm



Fast Un-clustered INSERT ...

- Your mileage will vary
 - Some insert workloads will see no improvement and is to be expected
 - Some specific insert workloads may see significant improvement
- Will shift the bottleneck to the next constraining factor
- LOAD SHRLEVEL CHANGE can also benefit from Fast Un-clustered INSERT
- Fast Un-clustered INSERT will not be used when lock escalation occurs or use of SQL LOCK TABLE
- Available after new function activation (V12R1M5xx)



Fast Un-clustered INSERT – Shifting The Bottleneck ...



Insert Algorithm 2



Fast Un-clustered INSERT - DB2 11 PMR Recreate ...



UTS PBG with MEMBER CLUSTER, RLL, with 400 bytes per row, one index, 800 concurrent threads, 10 insert per commit



Fast Index Traversal

- One of the most important performance features in V12
- Used for fast index lookup by avoiding expensive index B-tree traversal
- Access must be random (index traversal) pattern to benefit
- SELECT, INSERT, DELETE, UPDATE, ... can all benefit
- Separate Fast Traversal Block (FTB) memory area allocated outside of bufferpool
 - Uses a concatenated structure, containing only non-leaf pages, uses relative structure
- Does not use bufferpool
 - Non-leaf pages (except root page) are not fixed in the bufferpool
 - Pages are eligible for stealing and can be LRUed out of the bufferpool when the index non-leaf pages are stored in FTB memory
- Improved performance
 - Fast traverse block is L2 cache aware B-Tree like structure
 - Each page is equal to one cache line in size (256 bytes)
- ESP customer example with
 - 9.1% CPU reduction with 3 level index, 22.9% CPU reduction with 4 level index
- Your mileage in terms of CPU reduction will vary



Fast Index Traversal ...

- zparm INDEX_MEMORY_CONTROL = <u>AUTO</u>, DISABLE, x (MB)
 - AUTO = 20% allocated bufferpool size (min 10 MB)
- Each DB2 member will determine independently the good candidate indexes (daemon)
 - Index must be unique
 - INCLUDE COLUMNS supported
 - Index entry length (key + additional columns) has maximum size of 64 bytes
 - Re-evaluates every 2 minutes and adjusts priority queue
 - Index traversal (+)
 - Index only access (++)
 - Index leaf page splits (----)
 - Index lookaside (-)
 - Internal threshold then applied
- Control by SYSIBM.SYSINDEXCONTROL
 - Indicate preference for specific indexes
 - Disable for specific indexes



Fast Index Traversal ...

- Monitor
 - -DIS STATS(IMU) LIMIT(*) command
 - DSNI070I -DETA FTB 0 MB FTBN 0,1 C 1
- Trace
 - START TRACE (PERFM) DEST(SMF) IFCID(477)
 - -START TRACE (STAT) DEST(SMF) CLASS(8) IFCID(389)
- Free FTB for an index
 - Pageset close
 - SQL mass delete
 - ALTER INDEX, RECOVER INDEX, REBUILD INDEX
 - Trick: ALTER INDEX from COPY YES to COPY NO (and the other way around)



Fast Index Traversal ...

- Migration
 - Available in V12 upon migration
 - Available before new function activation (V12R1M100)
 - Mixed release coexistence or V12 before new function activation (V12R1M100)
 - FTB only used while index object is not GBP-dependent
 - If index object becomes GBP-dependent, the FTB will be deleted/bypassed
 - After new function activation (V12R1M5xx)
 - FTB can now also be used when index object is GBP-dependent



Data dependent vs. numeric based pagination syntax

- Works very well as advertised
- Data dependent pagination syntax e.g., SELECT ... FROM ... WHERE (LASTNAME, FIRSTNAME) >= (:Iname, :fname)
 - Given correct index design, can go <u>directly</u> to the needed rows
 - Can exploit range-list index scan (ACCESSTYPE='NR')
- Numeric based pagination syntax e.g., SELECT ... FROM ... OFFSET 10 ROWS FETCH FIRST 10 ROWS
 ONLY
 - Will have to skip through the unneeded rows
 - If rows are deleted/inserted from other applications in between
 - · May see the same rows twice or not see the rows at all
- Many static scroll cursors can be replaced by SQL pagination
 - Result set is no longer materialized
 - Read-only applications will not create long running unit of recoveries
 - Performance can be improved



More use of list prefetch

- Expected to see an increase in list prefetch (and potentially hybrid join), but not necessarily changes in the access plan where DB2 would previously chose a sort avoidance plan
- Enhancement to the Optimizer cost model to more closely reflect the true cost (and benefit) of list prefetch
- Trying to be careful not to select list prefetch (with sort) as an access path when there
 was an alternative access path that could use an index to avoid a sort i.e., for pagination
 type SQL



Example: increase on log record size after converting BSDS in V11 and entry to V12

- About 50 byte increase after converting BSDS under V11 NFM
- Further increase in log record size in V12: about 20 bytes for table space and about 28 bytes for index





Dynamic Plan Stability

- Welcome new feature that will bring some relief in the area of dynamic SQL
- In V11 a miss in dynamic statement cache requires a new full prepare
 - DB2 subsystem recycle
 - Release migration
 - RUNSTATS
 - ..
- In V12 can stabilize a query statement from the dynamic statement cache
 - No new full prepare needed
 - Statement is loaded into the dynamic statement cache from the Catalog
 - Statement is invalidated by SQL DDL like a static SQL package
- Can stabilize
 - Specific dynamic query statement
 - Dynamic query statements with more than a certain number of executions



Dynamic Plan Stability ...

- No REBIND capability to "repair" after invalidations
 - Need to wait for new stabilization
- Restrictions
 - No support for concentrated statements
 - Query statements against temporal and transparent archive
- FREE stabilized dynamic query STBLGRP(x)
 - Will also invalidate the statements in the dynamic statement cache
 - May result in waves of full prepares
- Stabilized dynamic query statements do consume more CPU than the equivalent static query statement



More granular global commit LSN and global read LSN

- DB2 does not actually track "more current" value for all individual objects
- Each member maintains two global lists of the 500 objects which have the worst (oldest) CLSN and read-LSN values
- Global lists built by a system task that wakes every 2 seconds (subject to change)
- Rebuilds it's own list
- Merges it with every other member's list to create the global list
- When it comes time to pick up an object's CLSN or read-LSN value
 - Check the appropriate global list for the object
 - If it is on there, then we know what it's LSN is
 - If not, then use as an "alternate" LSN for the newest object (as object's LSN cannot be worse then this value)
 - Either way DB2 will compare the LSN picked with the old global value (from SCA), and use that if it is better
- Very nice enhancement that has great potential to improve lock avoidance and/or space reuse on LOB insert when the inevitable long running reader-UR is in play



LOB compression

- Requires zEDC hardware feature
 - Will decompress existing compressed LOB if zEDC not available
 - Will not compress a LOB if zEDC not available
- Inline LOB is completely separate from LOB compression
 - LOB compression only applies to the the out-of-line portion
 - Split and compressed independently
- Aimed at textual
 - Not video and audio as these are already heavily compressed outside of DB2 e.g., MP3 or MP4



SQLCODE -109 Issue

- Problem:
 - Non-documented and illegal use of SELECT ... INTO ... UNION ALL syntax
 - Customer complaints, can produce wrong results, defect
 - Loophole closed in V12
- Solution:
 - APAR PI67611 produced for V11
 - New zparm: DISALLOW_SEL_INTO_UNION
 - NO (V11 default)
 - Allows usage of this illegal SQL syntax when such usage is encountered during execution of a BIND or REBIND command
 - DB2 will write an incompatibility trace record to IFCID 376
 - Use these trace records to identify and correct applications that are using the illegal SQL syntax
 - YES (V12 default)
 - Disallow usage of this illegal SQL syntax
 - Statements that include syntax will fail with SQLCODE -109
 - Running IFCID 376 under V11 will help identify problem applications



Enhanced SQL MERGE

- V12 delivers ANSI compliant MERGE capability
- SQL MERGE is now very powerful
 - Source can now include TABLE, VIEW and full Select
 - Additional predicates on MATCHED/NOT MATCHED
 - Can do DELETE
 - Can do multiple UPDATE, INSERT and DELETE phrases
 - But not on same row
 - Can accept SIGNAL and IGNORE
- Benefits
 - Development productivity
 - Improved performance
 - Application porting to DB2



Enhanced SQL MERGE ...

- But SQL MERGE is now so powerful ...
 - Input can be a SELECT (JOIN) returning many rows (millions, billions)
 - # UPDATEs, INSERTs and DELETEs could explode
 - Considerations
 - No intermediate commit points
 - Long rollback time
 - Lock escalation and impact on concurrency
 - No SQL pagination support



DRDA Fast Load

- It is super fast
- Some complication to format the input records correctly
- Problem area is missing restart after failure
 - Must terminate Utility
 - RECOVER and REBUILD objects
 - Restart the DRDA Fast Load



UTS Relative Page Number (RPN)

- Motivation
 - Tremendous improvement in terms of availability and usability
 - DSSIZE can vary for different partitions
 - DSSIZE can now be increased for an individual partition with zero application impact
 - No REORG required to increase DSSIZE
 - Scalability
 - Maximum partition size increases to 1 TB
 - Maximum table size increases to 4 PB
 - Maximum number of rows in a table increases from 1.1 Tn to 280 Tn



UTS Relative Page Number (RPN) ...

- Migration possible from either classic partitioned and UTS Partition By Range (PBR) table spaces
 - Steps for conversion
 - 1. ALTER TABLESPACE ... SEGSIZE n
 - If starting from classic partitioned
 - 2. ALTER TABLESPACE ... PAGENUM RELATIVE
 - Table space put into AREOR state
 - 3. REORG TABLESPACE ...
 - Base and XML table spaces can be migrated separately
 - Can "coexist" running with mixed RELATIVE/ABOLUTE attributes
 - One-way ticket no fallback to absolute page numbering (PAGENUM ABSOLUTE)
 - Extended Addressability (EA) must be used for UTS PBR RPN datasets
 - DASD space for large datasets can lead to problems (e.g. running out of volumes)
 - Datasets can only be spread across 59 volumes
 - For example, a 1TB dataset will require 3390 Model 27 or above



UTS Relative Page Number (RPN) ...

- Migration issues
 - Part-level inline copies are required for REORG to RPN
 - Relief for tape unit constraint planned for 2Q2017
 - Pre-V6 range partitioned tablespaces with limit key values truncated at 40 bytes cannot be converted over
 - Solution planned for 1H2017



Questions

• ???



Summary

- DB2 12 migration is different
 - One phase migration (no ENFM)
 - Should reduce overall time to take advantage of new features
- Real memory exploitation required for most performance improvements
- zIIP usage likely to increase
- DB2 12 has rich set of functions that were popular with ESP customers
- DB2 12 early adopters should be prepared to:
 - Test thoroughly
 - Keep current with DB2 maintenance





